

Fast AES-Based Universal Hash Functions and MACs

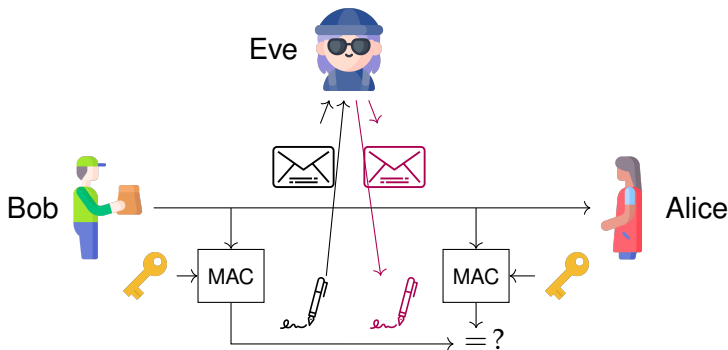
Featuring LeMac and PetitMac

Augustin Bariant, Jules Baudrin, Gaëtan Leurent,
Clara Pernot, Léo Perrin & Thomas Peyrin

FSE
March 20, 2025



Message Authentication Codes (MACs)



Requirement: integrity and authenticity

Eve shouldn't be able to **modify the messages**.

- ▶ Use **Message Authentication Codes (MACs)** with symmetric keys.
- ▶ Hard for Eve to **predict the tag** of an arbitrary message.

Different MAC design strategies

- ▶ MACs built from **hash functions**.
 - ▶ Ex: HMAC.
- ▶ MACs built from **block ciphers**.
 - ▶ Ex: CBC-MAC, CMAC, EMAC.
- ▶ MACs built from **cryptographic permutations**.
 - ▶ Ex: Duplex mode (AD only), Ascon mode (AD only).

Different MAC design strategies

- ▶ MACs built from **hash functions**.
 - ▶ Ex: HMAC.
- ▶ MACs built from **block ciphers**.
 - ▶ Ex: CBC-MAC, CMAC, EMAC.
- ▶ MACs built from **cryptographic permutations**.
 - ▶ Ex: Duplex mode (AD only), Ascon mode (AD only).
- ▶ MACs built from **Universal Hash Functions (UHFs)**.
 - ▶ Introduced in [Carter & Wegman, 1977].
 - ▶ Some security properties of UHFs can be **proven with algebraic constructions**.
 - ▶ Still often requires a few block cipher calls.
 - ▶ Ex: GMAC, Poly1305, UMAC.

Our approach

- ▶ Design **fast AES-based UHF_s** with input message of arbitrary length.
- ▶ Use generic constructions to convert UHF_s into MAC_s.

Contribution

- ▶ New AES-based **Universal Hash Function framework**.
- ▶ Two proposed MAC instances: **LeMac** and **PetitMac**.

Universal Hash Functions

[Carter & Wegman, 1977]

- A Universal Hash Function (UHF) is a **family of functions** $\{H_K : A \rightarrow B \text{ for } K \in \mathcal{K}\}$.

Definition (ε -AU UHFs)

A UHF $\{H_K : \{0, 1\}^* \rightarrow \{0, 1\}^n \text{ for } K \in \mathcal{K} = \{0, 1\}^k\}$ is **ε -almost-universal** if:

$$\forall M \neq M' \in \{0, 1\}^*, \quad |\{K \in \{0, 1\}^k : H_K(M) = H_K(M')\}| \leq \varepsilon |\mathcal{K}| = \varepsilon 2^k,$$

Universal Hash Functions

[Carter & Wegman, 1977]

- A Universal Hash Function (UHF) is a **family of functions** $\{H_K : A \rightarrow B \text{ for } K \in \mathcal{K}\}$.

Definition (ε -AU UHF's)

A UHF $\{H_K : \{0, 1\}^* \rightarrow \{0, 1\}^n \text{ for } K \in \mathcal{K} = \{0, 1\}^k\}$ is **ε -almost-universal** if:

$$\forall M \neq M' \in \{0, 1\}^*, \quad |\{K \in \{0, 1\}^k : H_K(M) = H_K(M')\}| \leq \varepsilon |\mathcal{K}| = \varepsilon 2^k,$$

i.e.

$$\Pr_{K \xleftarrow{\$} \{0, 1\}^k} [H_K(M) = H_K(M + \delta)] \leq \varepsilon,$$

where $\delta = M + M'$.

Universal Hash Functions

[Carter & Wegman, 1977]

- ▶ A Universal Hash Function (UHF) is a **family of functions** $\{H_K : A \rightarrow B \text{ for } K \in \mathcal{K}\}$.

Definition (ε -AU UHFs)

A UHF $\{H_K : \{0, 1\}^* \rightarrow \{0, 1\}^n \text{ for } K \in \mathcal{K} = \{0, 1\}^k\}$ is **ε -almost-universal** if:

$$\forall M \neq M' \in \{0, 1\}^*, \quad |\{K \in \{0, 1\}^k : H_K(M) = H_K(M')\}| \leq \varepsilon |\mathcal{K}| = \varepsilon 2^k,$$

i.e.

$$\Pr_{K \xleftarrow{\$} \{0, 1\}^k} [H_K(M) = H_K(M + \delta)] \leq \varepsilon,$$

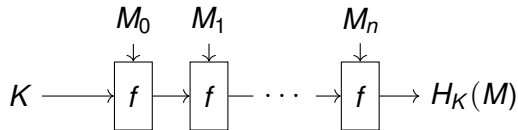
where $\delta = M + M'$.

- ▶ A UHF is ε -AU if no high probability **differential** $\delta \rightarrow 0$ exists.

Our UHF design strategy

- ▶ Exploit AES-NI instructions for **software performance**.
- ▶ Design strategy similar to the round function of Rocca.

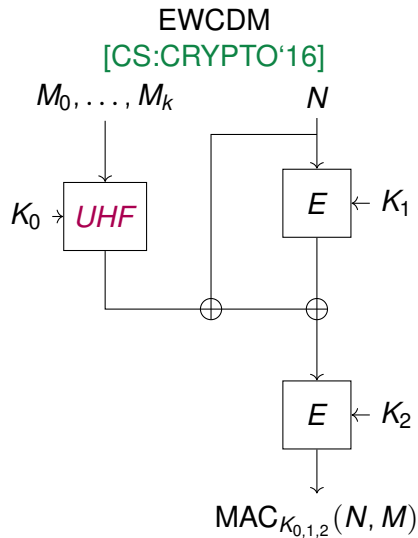
[SLNKI,FSE'22]



- ▶ **Heuristic assumptions:**
 - ▶ Best differentials \approx best differential trails.
 - ▶ Independent rounds.
- ▶ **Security analysis:** best differential trails leading to collision analysed with MILP.

Our MAC design strategy

- ▶ Use EWCDM to **convert a UHF into a MAC**.
- ▶ Instantiate E with the AES.
- ▶ For long messages, **the costly part is the UHF**.



Design of AES-based constructions

AES New Instructions (AES-NI)

[Intel, 2008]

- ▶ Widely available instruction set on recent Intel/AMD processors
- ▶ 1 AESENC instruction = 1 AES round:

$$SB \rightarrow SR \rightarrow MC \rightarrow AK.$$

- ▶ Speed **comparable to a 128-bit XOR/ADD instruction** on modern processors.

Design of AES-based constructions

AES New Instructions (AES-NI)

[Intel, 2008]

- ▶ Widely available instruction set on recent Intel/AMD processors
- ▶ 1 AESENC instruction = 1 AES round:

$$SB \rightarrow SR \rightarrow MC \rightarrow AK.$$

- ▶ Speed **comparable to a 128-bit XOR/ADD instruction** on modern processors.

Definition (Rate of an AES-based UHF/MAC)

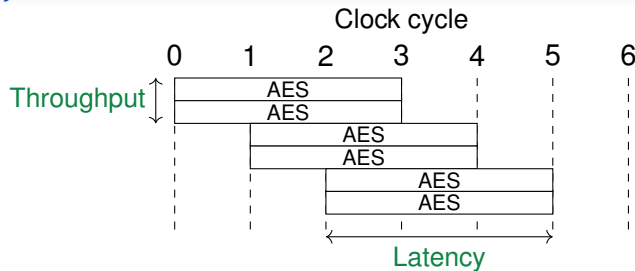
$$\text{rate} = \frac{\text{\#AES-NI instructions}}{\text{\#128-bit message blocks}}$$

- ▶ Rate 4: PelicanMAC, PC-MAC, AEGIS-128L. [DR:EPRINT'05. MT:FSE'06. WC:SAC'13]
- ▶ Rate 3: Tiaoxin-346 (AD only). [Nikolić, CAESAR'14]
- ▶ Rate 2: Jean-Nikolić, Rocca (AD only), SMAC. [JN:FSE'16. SL+:FSE'22. WM+:FSE'25]

Scheduling of AES-NI instructions

On modern processors:

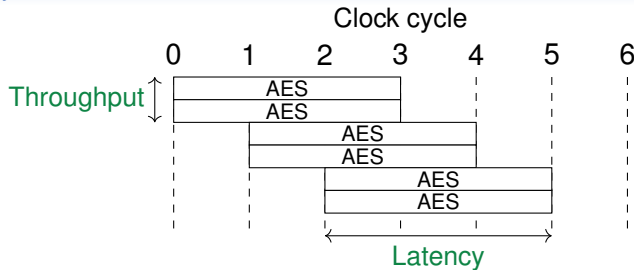
- ▶ **Throughput:** 2 AES per cycle.
- ▶ **Latency:** 3-4 cycles.



Scheduling of AES-NI instructions

On modern processors:

- ▶ **Throughput**: 2 AES per cycle.
- ▶ **Latency**: 3-4 cycles.



Theoretical bound

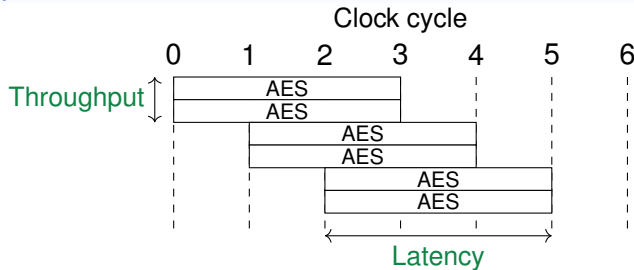
Rate- r constructions require $\geq \frac{r}{2}$ cycles per 128 bits of message.

- ▶ **Observation**: existing rate-2 UHF's are slower than this bound (bad parallelization).

Scheduling of AES-NI instructions

On modern processors:

- ▶ **Throughput:** 2 AES per cycle.
- ▶ **Latency:** 3-4 cycles.



Theoretical bound

Rate- r constructions require $\geq \frac{r}{2}$ cycles per 128 bits of message.

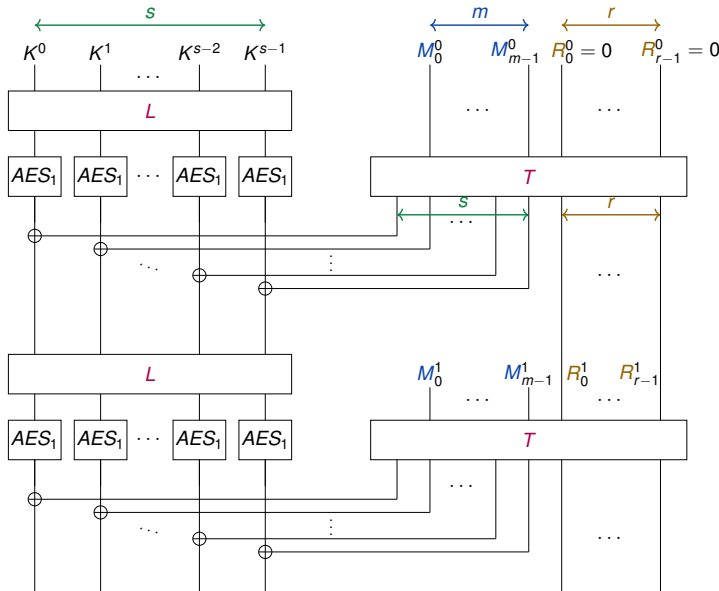
- ▶ **Observation:** existing rate-2 UHF's are slower than this bound (bad parallelization).

Our approach

Design a **parallelization-oriented rate-2 AES-based UHF**, and convert it to a MAC.

- ▶ **Goal:** reach the bound of 1 cycle/128-bit (= 0.0625 cycles/byte).

Our framework of UHF candidates



► Wire = 128-bit element.

Message schedule (right)

- Fully linear.
- Extra memory registers.
- Sparse linear matrix T .

Main state (left)

- Design similar to a SPN.
- Non-linear (AES rounds).
- Sparse linear matrix L .

Procedure for finding fast ε -AU candidates

Procedure: generate many random candidates of the framework. For each:

- ▶ Check the **security** with MILP.
- ▶ Check the **performance** with automatic benchmark.
- ▶ Keep candidates that are **secure** and **performant**.

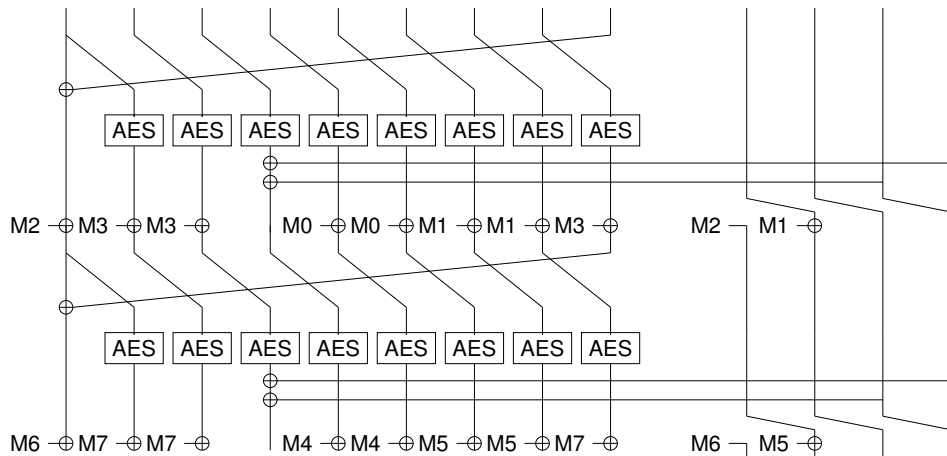
Security check

- ▶ Find the **best differential trail** leading to a collision with MILP.
- ▶ Secure if the number of active S-boxes is ≥ 22 (trail probability $\leq 2^{-22 \times 6} = 2^{-132}$).

Performance check

- ▶ **Automatically** generate a C implementation, compile and benchmark **on the fly**.

Round function of LeMac's UHF



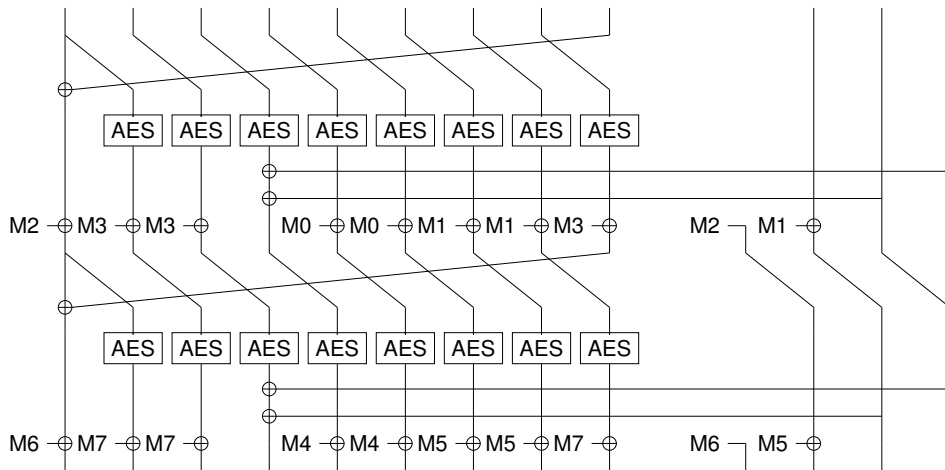
Security

≥ 26 active S-boxes.

Performance

Rate 2 with good parallelization.

Round function of LeMac-0's UHF (corrigendum)



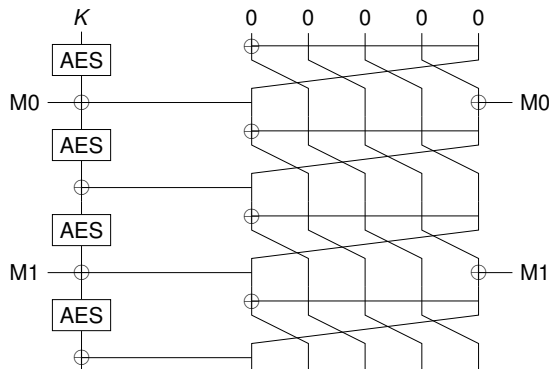
Security

≥ 25 active S-boxes.

Performance

Rate 2 with good parallelization.

Round function of PetitMac's UHF



Security

≥ 26 active S-boxes.

Lightweight

Rate 2 with a few registers.

Result of the search for good ε -AU candidates

- ▶ We found the **first secure candidate with rate < 2** (but not optimally performant).
- ▶ Performances close to the rate-2 theoretical bound (0.0625 cycles per byte).

Rate	#AES	#Message	State size	#XOR	#Active Sboxes	Speed (cy/B)	
						16 kB	256 kB
2	8	4	13	4	26	0.074	0.067
1.75	7	4	15	5	23	0.079	0.068
2	6	3	11	4	25	0.086	0.080
2	4	2	10	3	24	0.104	0.099
2	2	1	7	4	23	0.180	0.175
2	1	0.5	6	3/1	26	0.374	0.371

Result of the search for good ε -AU candidates

- ▶ We found the **first secure candidate with rate < 2** (but not optimally performant).
- ▶ Performances close to the rate-2 theoretical bound (0.0625 cycles per byte).

Rate	#AES	#Message	State size	#XOR	#Active Sboxes	Speed (cy/B)	
						16 kB	256 kB
2	8	4	13	4	26	0.074	0.067
1.75	7	4	15	5	23	0.079	0.068
2	6	3	11	4	25	0.086	0.080
2	4	2	10	3	24	0.104	0.099
2	2	1	7	4	23	0.180	0.175
2	1	0.5	6	3/1	26	0.374	0.371

LeMac's UHF

PetitMac's UHF

Performance comparison

Cipher	State size	Rate	Theoretical bound	Speed (cycles per byte)					
				Intel Ice Lake			AMD Zen3		
				1kB	16kB	256kB	1kB	16kB	256kB
GCM (AD only)	1	-	-	0.737	0.345	0.321	0.816	0.479	0.466
AEGIS128L (AD only)	8	4	0.125	0.393	0.207	0.195	0.358	0.183	0.174
Tiaoxin-346 v2 (AD only)	13	3	0.094	0.346	0.134	0.123	0.311	0.120	0.109
Rocca (AD only)	8	2	0.063	0.438	0.167	0.149	0.392	0.140	0.124
Jean-Nikolić	12	2	0.063	0.298	0.137	0.110	0.301	0.111	0.098
LeMac-0	12	2	0.063	0.274	0.083	0.074	0.270	0.082	0.070
LeMac	13	2	0.063	0.285	0.092	0.079	0.272	0.085	0.069
PetitMac	6	2	0.063	0.522	0.384	0.376	0.669	0.511	0.501

- ▶ **LeMac**: extremely performant on **modern processors**.
- ▶ **PetitMac**: lightweight design for **micro-controllers**.

Conclusion and future works

Results:

- ▶ Framework for **fast and secure AES-based UHFs**.
- ▶ Found the first **rate-1.75** secure ε -AU UHF candidate.
- ▶ Two MAC instantiations with rate-2 : **LeMac** and **PetitMac**.

Future works:

- ▶ Use AVX-256 or AVX-512 instructions for further speed-up.
- ▶ Design an AES-based MAC with **ARM AES instructions** in mind.
- ▶ Derive an AEAD from a similar framework.

Conclusion and future works

Results:

- ▶ Framework for **fast and secure AES-based UHFs**.
- ▶ Found the first **rate-1.75** secure ε -AU UHF candidate.
- ▶ Two MAC instantiations with rate-2 : **LeMac** and **PetitMac**.

Future works:

- ▶ Use AVX-256 or AVX-512 instructions for further speed-up.
- ▶ Design an AES-based MAC with **ARM AES instructions** in mind.
- ▶ Derive an AEAD from a similar framework.



Thank you for your attention

